Reference Guide: Emergency Management Standards

Effective July 1, 2022, for Hospitals (HAP) & Critical Access Hospitals (CAH) Only

New EM Standards	EP	Area	Emergency Management Topic	Apply CAH	Apply HAP	Prior EM Standards
EM.09.01.01	1	EM Program	Written EM Program w/all-hazards approach	X	X	N/A
EM.09.01.01	2	EM Program	Separately certified Hospitals (unified/integrated EM Program)	X	X	04.01.01/1,2,3
EM.09.01.01	3	EM Program	Complies with laws and regulations	X	X	N/A
EM.09.01.01	4	EM Program	Transplant program (inclusion in the EM Program)	n/a	X	02.01.01/13
EM.10.01.01	1	EM Leadership	Senior leaders provide oversight & support	X	X	01.01.01/1; 02.01.01/1
EM.10.01.01	2	EM Leadership	Qualified individual to lead the EM Program	X	X	N/A
EM.10.01.01	3	EM Leadership	Multidisciplinary committee oversees EM Program	X	X	N/A
EM.10.01.01	4	EM Leadership	Multidisciplinary committee provides input	X	X	N/A
EM.11.01.01	1	HVA	Facility based HVA	X	X	01.01.01/2
EM.11.01.01	2	HVA	HVA: natural, human, tech, hazmat, infectious disease	X	X	N/A
EM.11.01.01	3	HVA	Prioritizes findings of HVA	X	X	01.01.01/3
EM.11.01.01	4	HVA	Uses HVA for mitigation & preparedness actions	X	X	01.01.01/5,6
EM.12.01.01	1	EOP-Planning	EOP is written all-hazards, including several plans	X	X	02.01.01/2, 8
EM.12.01.01	2	EOP-Planning	EOP identifies patient populations	X	X	02.02.011/11; 02.02.011/4
EM.12.01.01	3	EOP-Planning	EOP includes shelter-in-place and evacuation	X	X	02.01.01/15; 02.02.11/3
EM.12.01.01	4	EOP-Planning	EOP includes providing essential needs for staff/patients	X	X	02.02.03/3
EM.12.01.01	5	EOP-Planning	EOP describes incident command operations (IC)	X	X	02.02.01/22
EM.12.01.01	6	EOP-Planning	EOP includes process to cooperate/collaborate	X	X	02.02.01/22
EM.12.01.01	7	EOP-Planning	EOP identifies person(s) with authority to activate EOP/IC	X	X	02.01.01/5, 6; 02.02.01/5
EM.12.01.01	8	EOP-Planning	EOP identifies primary & secondary sites for IC operations	X	X	N/A
EM.12.01.01	9	EOP-Planning	EOP identifies 1135 waiver procedures	X	X	02.01.01/7, 14; 02.02.03/10
EM.12.02.01	1	EOP-Communications	Contact lists with names and contact info	X	X	02.02.01/20
EM.12.02.01	2	EOP-Communications	Coordinated messages and information during incident	X	X	02.02.01/1, 2, 3, 4, 6, 8, 9, 13
EM.12.02.01	3	EOP-Communications	Communication with relevant authorities	X	X	01.01.01/4
EM.12.02.01	4	EOP-Communications	Identifies warning and notification alerts	X	X	02.02.01/17
EM.12.02.01	5	EOP-Communications	Method for sharing patient information	X	X	02.02.01/5, 12, 21, 22
EM.12.02.01	6	EOP-Communications	Primary and Secondary means of communicating	X	X	02.02.01/14
EM.12.02.03	1	EOP-Staffing	Staffing plan to manage staff	X	X	02.02.07/10, 14
EM.12.02.03	2	EOP-Staffing	Addresses all staff and volunteers	X	X	02.02.07/2, 3, 4
EM.12.02.03	*4	EOP-Staffing	Managing volunteer licensed practitioners	X	X	02.02.13/1, 4, 5, 6, 7, 8 & 02.02.15/1, 4, 5, 6, 7, 8
EM.12.02.03	5	EOP-Staffing	Granting disaster privileges	X	X	02.02.13/2; 02.02.15/2

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| New EM Standards | EP | Area | Emergency Management Topic | Apply | | Prior EM Standards |
				CAH	HAP	
EM.12.02.03	6	EOP-Staffing	Providing employee assistance and support	X	X	02.02.07/5, 6
EM.12.02.05	1	EOP- Patient Clinical & Support	Written procedures with other hospitals re: patient information	X	X	02.02.03/9; 02.02.11/8, 12
EM.12.02.05	2	EOP- Patient Clinical & Support	Written procedures for managing visitors	X	X	**N/A**
EM.12.02.05	3	EOP- Patient Clinical & Support	Coordinates with Medical Examiner, mortuary, etc.	X	X	02.02.01/11; 02.02.11/7
EM.12.02.07	1	EOP- Safety & Security	Roles community security agencies have during disaster	X	X	02.02.05/1, 2, 3
EM.12.02.07	2	EOP- Safety & Security	Tracking on-duty staff and patients	X	X	02.02.07/9, 11; 02.02.11/12
EM.12.02.09	1	EOP- Resources & Assets	Written plan for managing resources and assets	X	X	01.01.01/8; 02.02.03/6, 12
EM.12.02.09	2	EOP- Resources & Assets	Written plan to obtain, allocate, mobilize, replenish, etc.	X	X	02.02.01/7, 10; 02.02.03/1, 2, 4, 5
EM.12.02.09	3	EOP- Resources & Assets	96-hour sustainability plan	X	X	02.01.01/3
EM.12.02.11	1	EOP- Utilities	Written plan for managing essential/critical utilities	X	X	02.02.09/7
EM.12.02.11	2	EOP- Utilities	Written plan for maintaining essential/critical utilities	X	X	02.02.09/8
EM.12.02.11	3	EOP- Utilities	Written plan for alternative power/systems	X	X	02.02.09/2, 3,4, 5, 6
EM.12.02.11	4	EOP- Utilities	Plan for managing alternative power/systems	X	X	**N/A**
EM.13.01.01	1	Continuity of Operations Plan	Written Continuity of Operations Plan (COOP)	X	X	**N/A**
EM.13.01.01	2	Continuity of Operations Plan	Written plan for secondary location	X	X	**N/A**
EM.13.01.01	3	Continuity of Operations Plan	Written succession plan	X	X	02.01.01/12
EM.13.01.01	4	Continuity of Operations Plan	Written delegation of authority plan	X	X	02.01.01/12
EM.14.01.01	1	Disaster Recovery	Written strategies for assessments, restoration	X	X	02.01.01/4
EM.14.01.01	2	Disaster Recovery	Written plan for family reunification	X	X	**N/A**
EM.15.01.01	1	Staff Education/Training	Written education and training program	X	X	**N/A**
EM.15.01.01	2	Staff Education/Training	Initial education and training	X	X	02.02.07/7
EM.15.01.01	3	Staff Education/Training	Ongoing education and training	X	X	02.02.07/13
EM.15.01.01	4	Staff Education/Training	Incident command staff education and training	X	X	**N/A**
EM.16.01.01	1	Testing the EOP	Written plan for annual testing of EOP	X	X	03.01.03/5; 03.01.03/17
EM.16.01.01	2	Testing the EOP	Conduct two (2) exercises per year	X	X	03.01.03/3
EM.16.01.01	3	Testing the EOP	Outpatient Care buildings conduct one (1) per year	X	X	**N/A**
EM.17.01.01	1	Evaluation of EM Program	Committee reviews AAR/IPs	X	X	03.01.03/13, 14, 15, 16
EM.17.01.01	2	Evaluation of EM Program	AAR/IPs forwarded to Senior Leadership	X	X	03.01.01/4
EM.17.01.01	3	Evaluation of EM Program	Updates made every two (2) years	X	X	02.01.01/16; 02.02.07/21; 03.01.01/1, 2

Key: Emergency Management (EM); Hazard Vulnerability Analysis (HVA): Emergency Operations Plan (EOP); After-action reports/Improvement Plans (AAR/IP); *4 (No EP 3)